OTP & 40.81

James S. Pristelski

Individual name
Signature

TFW

PTO/SB/21 (05-03) Approved for use through 04/30/2003, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number RADIN Application Number 10/722,204 **TRANSMITTAL** Filing Date November 25 2003 **FORM** First Named Inventor Amleshi, et al. Art Unit (to be used for all correspondence after initial filing) 2874 **Examiner Name** Attorney Docket Number 0797-0001 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Provisional Application After Final Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): Request for Refund **Express Abandonment Request** Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Document(s) Also enclosed are the following: Response to Missing Parts/ -Return Postcard Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm

CERTIFICATE OF TRANSMISSION/MAILING

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the date shown below.	·	,
Typed or printed name	Debra A. Howe	——————————————————————————————————————
Signature	Debra A. Horne	Date 10-22-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	\
Amleshi, et al.) I hereby certify that this correspondence is being deposited with the United States Postal
Serial No.: 10/722,204) Service as first class mail in an envelope addressed to:
Filed: November 25, 2003	Commissioner for Patents Washington, D.C. 20231 on:
Examiner:) DATE: <u>October 22, 2004</u>
Art Unit: 2874	NAME: <u>Bebra A. Howe</u> SIGNATURE: DONA A TOWN
For: METHODS FOR FABRICATING AN ALIGNED OPTOELECTRONIC WAVEGUIDE CIRCUIT)

Commissioner for Patents Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicants hereby call the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application.

No inference should be drawn that any apparatus disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an

assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicants also respectfully reserve the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fee under 37 CFR 1.97(c) is required. If it is determined that a fee is required for this Information Disclosure Statement, please charge our Deposit Account No. 50/1039.

If it is determined that any additional fee is required for this Information Disclosure Statement, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

James S. Pristelski

Registration No. 27,222

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/722,204		
Filing Date	November 25, 2003		
First Named Inventor	Amleshi, et al.		
Art Unit	2874		
Examiner Name			
Attorney Docket Number	0797-0001	_	

	1 00			DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,151,145	11-21-2000	Srivastava et al.	
	2	^{US-} 6,507,685 B1	01-14-2003	Polynkin et al.	
	3	^{US-} 6,477,293	11-05-2002	Golub	
	4	^{US-} 6,148,124	11-14-2000	Aksyuk et al.	
	5	^{US-} 6,085,002	07-04-2000	Qiu et al.	
	6	^{US-} 5,629,994	05-13-1997	Huber et al.	
	7	^{US-} 5,500,910	03-19-1996	Boudreau et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines,	Г	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Where Relevant Passages Or Relevant Figures Appear	T ⁶
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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